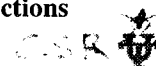


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Estimating the Inverted Barometer Scale Factor for Altimetric Measurement Corrections

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ABSTRACT: Three years of TOPEX altimetric data and global sea level pressure data from the ECMWF atmospheric general circulation model are used to assess the scale factor of the inverted barometer (IB) approximation for static ocean response to atmospheric pressure. Two different methods, the anomaly method and the collinear differences method, are used.

For each method two approaches are examined: the pressure-only analysis uses the TOPEX measured sea-level anomalies containing the pressure, wind, and steric signals; the wind/steric-signal-removed analysis uses Serenier's POCM 4B ocean model data in an effort to remove the wind-driven and steric ocean signals.

The anomaly and the collinear differences methods show identical results outside 20°S to 30°N latitude band, but show considerable differences inside this region. The collinear differences method yields more accurate results than the anomaly method in the tropics, with errors of less than 8 percent. Although using Serenier's POCM data introduces a new error source, models that are the benefit of isolating the ocean response to pressure signal from other signals outweigh the negative effect of the added error source.

As a result, the wind/steric-removed collinear differences method yields the most realistic value for the IB scale factor. Zonally, this best case yields an estimated value of ≈ 2.2 mm/mb; the value is flat across the $\approx 60^\circ$ latitude band, has a mean value of 4.8 ± 0.8 mm/mb in the 40° band, and a mean value of 9.4 ± 0.5 mm/mb outside $\pm 25^\circ$ (within $\pm 60^\circ$). The α obtained for the tropics is 20 to 30 percent closer to the theoretical value.

INTRODUCTION: The issue of how the ocean surface responds to atmospheric pressure fluctuations is important for the study of ocean dynamics. However, since atmospheric-ocean interaction causes mass redistribution in the ocean, it indirectly affects the rotation rate of the earth (Yasuda et al., 1992) as well as the polar motion of the earth (Dickman, 1988). Moreover, mass redistribution introduces a time-varying component in the earth's gravitational potential field (Chao and Fains, 1995; Dong et al., 1996). Therefore, the ocean's response to atmospheric pressure fluctuations is important to geodesists as well.

Atmospheric pressure loading on the ocean surface was first discussed by R. Sphaer in his study of rotation of the earth in 1877. However, Jeffreys (1916) gave the subject a more thorough analytical treatment some 20 years later.

For the most part, sea level response to pressure loading has been approximated as an inverted barometer (IB), that is, sea level will rise (fall) approximately 1 cm for every 1 millibar decrease (increase) in atmospheric pressure (Wunsch, 1972; Gill & Turner, 1973). Although the IB approximation assumes only an isostatic response, pressure loading induces a dynamic ocean response as well. However, the magnitude of the ocean velocity generated by pressure loading is negligible compared with that generated by wind or thermohaline forcing (Mageret, 1977; Philander, 1978; Tai, 1993; Ponte, 1993). Moreover, the dynamic response diminishes as the pressure forcing frequency decreases (Tai, 1993). Hence, regardless of the size of the dynamic response, one should always remove the static inverted barometer sea level response in order to study the quasistatic motions and dynamic response of the ocean to other forcing, including atmospheric loading (Tai, 1993).

Many studies have been carried out to assess the validity of the IB approximation. Some have used purely theoretical methods (Dickman, 1988; Tai, 1993). While others have applied atmospheric model (static) pressure and wind fields to numerical ocean models (Paine et al., 1991; Ponte, 1992, 1993, 1994). In addition, a number of studies have been done using either observed local sea level time series from tide gauge data (Wunsch, 1972; Bell and Dong, 1996), or globally observed altimetry data (LeMann and Wray, 1993; Hwang and Wilson, 1994; Fu and Pons, 1994; Caspar and Ponte, 1996), or a combination of tide gauge and altimetry data (Wunsch, 1991). While tide gauge studies are limited to the ocean areas adjacent to land masses, altimetric studies provide global coverage of the ocean. This study uses TOPEX altimetric data to investigate the validity of the scale factor (α) of the IB approximation in the open ocean.

DATA: January 1992 - December 1995

TOPEX Altimetric Data (Repeat Cycle 10-11)

- A modified version of the GDR edit criteria (Callahan, 1993) plus additional CSR edit criteria (Paine, 1996) is applied.
- The original GDR orbit is replaced with a 2 cm ZCM-3 orbit solution computed at CSR (Ries and Tapley, 1999).
- GDR ocean tide solutions are replaced with the CSR 3.0 ocean tide model (Laine and Bettadpur, 1999).

Altimetric Pressure Fields

- European Center for Medium-Range Weather Forecasts (ECMWF) uninitiated, mean sea-level pressure fields (1° by 1° by 6 hours) are used.
- The POCM 4B model is used, forced by monthly averaged heat flux and ECMWF daily averaged wind fields, used to separate wind- and steric-driven ocean signals from the total TOPEX-measured ocean signal.

THE INVERTED BAROMETER MODEL:

The static ocean response to sea-level adjustments to atmospheric pressure variations is approximated as an inverted barometer, i.e.,

$$\eta_B = -\frac{1}{\rho}(\bar{p} - p) \quad (1)$$

where η_B is the IB adjustment (in cm), ρ is the density of sea water in gm^3 , g is the acceleration of gravity in cm/s^2 , \bar{p} is the instantaneous pressure, and p is the mean pressure. Assuming p is never more than 1% different from the mean ocean water density (Caspar and Ponte, 1996), $1/\rho g$ is approximately 1 cm/mb. Therefore, Equation 1 says that a 1 mb change in barometric pressure will displace sea level by one centimeter, leaving the combined water-air column height unchanged. In other words, in regard to barometric pressure fluctuations, sea level adjusts itself such that the ocean surface is shielded from surface pressure fluctuations. This statement generally holds for short to medium period fluctuations in barometric pressure.

MEAN PRESSURE: Numerous studies have been performed to validate the theoretical 1 cm/mb static ocean response (IB scale). However, until very recently (Raofi, 1998; Donndorf and Le Traon, 1999), there have been no explicit studies which discuss an appropriate model for \bar{p} in Equation 1. Results of these and other recent studies agree that mean pressure variations need to be considered in the IB response model.

Figure 2 shows that mean sea surface pressure is not constant in all latitudes and has a definite zonal structure. To remove the induced zonal bias, the correct \bar{p} model should incorporate the local mean pressure. However, to remove the erroneously induced wind and semi-annual signals, this local mean pressure must be adjusted for temporal variations in the global mean sea-level pressure.

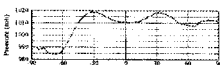


Figure 1: Zonally averaged ECMWF mean sea surface pressure

MEAN PRESSURE CONTINUED:

The \bar{p} model proposed by Raofi (1998) and used for this study is defined by:

$$\bar{p} = P(\lambda, \lambda_0) + (\bar{p}_{\text{pole}}(t) - \bar{p}_{\text{pole}}(0)) \quad (2)$$

and the IB correction at any point and time becomes

$$\eta_{\text{IB}} = \text{SLA} - \eta_{\text{SLA}} + (\bar{p}_{\text{pole}}(t) - \bar{p}_{\text{pole}}(0)) \quad (3)$$

where the subscript "pole" implies that every gradient of every pressure field has been used (as opposed to erroneously using only those pressure fields sampled by T/P) and the brackets <> denote the time-averaged mean global pressure.

THE IB SCALE FACTOR α :

The IB scale factor α is evaluated by applying the IB correction of Equation 3 to TOPEX sea level anomalies (SLA). The scale factor calculations are computed by two different methods, the anomaly method and the collinear differences method, and are compared to the theoretical -11 mm/mb scale factor.

ESTIMATION:

- A least squares estimation method is used to regress the TOPEX SLA record versus ECMWF atmospheric model pressure records to estimate the IB scale α .
- To reduce measurement noise, normal point averages representing 500 km groundtrack lengths are used in place of individual SLA measurements.
- At any given location (λ, λ_0), all SLA measurements from all TOPEX cycles are used to simultaneously solve for α .
- ECMWF pressure data are interpolated to SLA measurement locations to compute pressure normal points compatible to SLA normal points.

METHODOLOGY:

1. The Anomaly Method (AM)

Regression model:

$$\text{SLA} = \alpha(\bar{p} - \bar{p}_{\text{pole}}) + \epsilon \quad (4)$$

2. The Collinear Differences Method (CDM)

Regression model:

$$\text{SLA} - \text{SLA}_{\text{pole}} = \alpha(\bar{p} - \bar{p}_{\text{pole}}) + \epsilon \quad (5)$$

Where \bar{p} is the instantaneous pressure, \bar{p}_{pole} is the mean pressure model described in Equation 2, ϵ is the estimation error.

OCEAN SIGNALS IN SLA:

$$\text{SLA} = \eta_{\text{IB}} + \eta_{\text{SLA}} \quad (6)$$

Where SLA contains all ocean signals, η_{IB} is the orbit height, and η_{SLA} is the altimetric measurement correction for all instrument and media effects (including the sea state bias).

$$\text{SLA} = \eta_{\text{IB}} + \eta_{\text{SLA}} + \eta_{\text{SLA}} + \eta_{\text{SLA}} + \epsilon' \quad (7)$$

Where η_{IB} is the tide signal, η_{SLA} is the steric signal, η_{SLA} is the wind signal, η_{SLA} is the pressure signal, and ϵ' is the sum of all measurement and model errors.

RESULTS:

CASE A: REMOVING ONLY THE TIDE SIGNAL

$$\text{SLA} = \text{SLA} - \eta_{\text{IB}} \quad (8)$$

- Ocean tide signals are removed using the CSR 3.0 ocean tide model (steric, wind, and pressure signals remain).

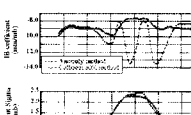


Figure 2: Estimated IB coefficient α (top) and its 1 σ error (bottom) for the Anomaly Method (AM) and Collinear Differences Method (CDM).

CASE B: REMOVE THE TIDE, STERIC, AND WIND SIGNALS

$$\text{SLA} = \text{SLA} - \eta_{\text{IB}} - \eta_{\text{SLA}} - \eta_{\text{SLA}} \quad (9)$$

- Steric and wind-driven signals are removed by subtracting Serenier's POCM SLA from the measured TOPEX SLA.
- Ocean tide signals are removed using the CSR 3.0 tide model.

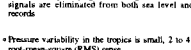


Figure 3: Zonally averaged IB scale α for the collinear differences method (CDM) and the anomaly method (AM). The shaded area is the 1 σ error (1 σ).

ERROR ANALYSIS:

- Errors in the estimates can be divided into 5 categories: due to orbit error, altimetric range measurement error, model pressure error, correlation between pressure fluctuations and IB corrected SLA, and other miscellaneous errors.
- Inside the tropics, the total root-mean-square (RSS) error (Table 1) agrees well with the formal estimation error (1- σ) shown in Figure 5.
- Outside the tropics, the RSS error of 0.8 mm/mb suggests that the 1- σ estimation error of 0.54 mm/mb is optimistic.

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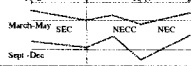


Figure 4: Diagram of dynamic ocean topography associated with Pacific equatorial currents, looking West (after Wyrtki, 1974, Fig. 10).

CASE A OBSERVATIONS:

- The AM regression results, particularly in the tropics, are compared by the strong wind-driven signal.

- Removing the low-frequency signals (CDM) eliminates less than 10 percent of total pressure signal (in a root-mean-square (RMS) sense). CDM removes considerably more of the wind signal compared with the pressure signal.

- Hence, the CDM regression results are at most 10 percent in error due to lack of complete pressure signal spectrum.

- The large discrepancy between the two methods in the tropics is primarily due to large errors in the AM regression results caused by large wind-driven to pressure-driven signal ratio.

- The CDM regression solution is closer to the true ocean response to pressure variations than the AM solution.

CASE B OBSERVATIONS:

- As expected, removing the wind signal moves the CDM IB scale curve about 0.5 mm/mb closer to the theoretical -10 mm/mb value (see Figure 4).

- Removing the wind signal has a slightly smaller effect inside the tropics compared with the outside, this is perhaps because there are more mid-to-high-frequency wind signals outside the tropics.

- The AM (Figure 4, red line) still has large errors in the tropics, particularly in the northern hemisphere. This suggests that Serenier's POCM does not completely remove the low-frequency wind signals in the tropics, especially in the region 40° to 30° North.

- Even after removing the wind signal, the signal (pressure) is still weak, and α ratio remains low in the tropics.

- Outside the tropics, both the AM and CDM give nearly identical results with a mean value of ≈ 40 mm/mb.

- This suggests that outside the tropics, the ocean's response to pressure variations is nearly that of a perfect IB for the full range of the spectrum except those frequencies higher than once every 2 to 3 days (Paine, 1991).

CASE C OBSERVATIONS:

- Steric and wind-driven signals are removed by subtracting Serenier's POCM SLA from the measured TOPEX SLA.
- Ocean tide signals are removed using the CSR 3.0 tide model.

- The steric signal is a seasonal (low-frequency) signal. Since the CDM has already eliminated low-frequency signals, removing the steric signal primarily affects the AM.

- Wind and pressure have opposing effects on sea level, e.g., a low pressure cell causes the water to bulge up while the wind cell associated with the low pressure cell gradually pumps water away from the center of the pressure cell towards its perimeter (Ekman pumping).

- The sea-level response to pressure fluctuations is nearly instantaneous.

- Sea level response to wind variations (in the form of Ekman pumping) requires time to reach equilibrium. Response is faster near the equator and slower away from the equator (Rienecker and Peltier, 1994).

- The lower the frequency of a pressure signal, the greater time its associated wind would have to negate the effect of the pressure response.

- Wind effect does not completely cancel the effect of pressure but somewhat reduces its magnitude.

- With the wind signal removed, one would expect the estimated IB scale to increase in magnitude (move closer to the theoretical value).

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α SCALE FACTOR SUMMARY TABLE:

Analysis Method	IB scale (mm/mb)	IB scale (mm/mb) (theoretical)
TOPEX	-10.7 \pm 1.2	-10.7 \pm 1.2
Paine and Wray (1993)	-8.7 \pm 0.5	-8.7 \pm 0.5
CDM	-9.4 \pm 0.5	-9.4 \pm 0.5
Equation 1 (Static)	-11.0 \pm 0.0	-11.0 \pm 0.0
Equation 2 (Static)	-11.0 \pm 0.0	-11.0 \pm 0.0
Equation 3 (Static)	-11.0 \pm 0.0	-11.0 \pm 0.0
Equation 4 (Static)	-11.0 \pm 0.0	-11.0 \pm 0.0
Equation 5 (Static)	-11.0 \pm 0.0	-11.0 \pm 0.0

Table 2: Summary results of the different methods and cases in the estimation of the IB coefficient. The first numbers indicate results for the best performing method. All results are in mm/mb.

SUMMARY AND CONCLUSIONS:

- Ocean models, such as Serenier's POCM 4B, can successfully (within model errors) be used for separating wind- and steric signals from other signals in the ocean.

- Unlike previous studies, the results of this study show that the estimated IB scale is flat across the equator (in the $\pm 10^\circ$ band).

- Inside the tropics, the estimated IB scale is 10 to 20 percent smaller in magnitude than the theoretical -10 mm/mb value; however, it is 20 to 30 percent closer to theoretical value as compared to two recent IB studies based on TOPEX/Poseidon data (see Figure 5).

- Outside the tropics, the estimated IB scale is nearly 95 percent of the theoretical value, supporting the validity of the IB approximation of the ocean's response to pressure variations.

- Since the ocean's response to pressure variations describes a physical phenomenon, the significant deviations from a pure IB response inside the tropics are possibly due to: low signal to noise ratio, lack of ability to completely separate other signals from pressure-driven ones in the ocean, and range measurement and range correction errors.

- Using a variable IB scale, or one which is different from the theoretical value, may mask other static or dynamic signals of interest in the ocean.

- Hence, for satellite applications, it is recommended to use an IB model which uses the mean pressure model used in this study along with the theoretical -10 mm/mb IB scale factor.

References: see attached

ERROR SOURCE	Estimate (mm/mb)	Estimate (mm/mb)
Pressure	0.4	0.4
Altitude	0.8	0.8
Range Measurement Error	0.4	0.4
Wind	0.7	0.7
Sea State Bias	0.4	0.4
Range Error	0.4	0.4
SLA	0.4	0.4
Barometric Coefficient	0.4	0.4
Removal Low-Freq. Signal	0.4	0.4
RSS Total	1.8	1.8

Table 1: Summary of error analysis for IB coefficient with individual error contributions and their RSS total (Raofi, 1998).